

SUPERIOR COURT OF CALIFORNIA COUNTY OF LOS ANGELES		Reserved for Clerk's File Stamp
COURTHOUSE ADDRESS: Stanley Mosk Courthouse 111 North Hill Street, Los Angeles, CA 90012		FILED Superior Court of California County of Los Angeles 09/09/2022 Sherri R. Carter, Executive Officer / Clerk of Court By: <u> A. Cisneros </u> Deputy
PLAINTIFF/PETITIONER: Credit Suisse Securities (USA) LLC		
DEFENDANT/RESPONDENT: Douglas Benton Prezzano et al		
CERTIFICATE OF MAILING		CASE NUMBER: 22STCP00246

I, the below-named Executive Officer/Clerk of the above-entitled court, do hereby certify that I am not a party to the cause herein, and that on this date I served the Minute Order (Court Order Re: JUDGMENT submitted 08-16-2022 @ 02:39 p.m.;;) of 09/09/2022, [L.A.S.C. Minute Order issued 09-09-2022] upon each party or counsel named below by placing the document for collection and mailing so as to cause it to be deposited in the United States mail at the courthouse in Los Angeles, California, one copy of the original filed/entered herein in a separate sealed envelope to each address as shown below with the postage thereon fully prepaid, in accordance with standard court practices.

At
 On 09/09/2022
 By Steven Andrew Lamb
 Rovens Lamb LLP
 1500 Rosecrans Ave Ste 418
 Manhattan Beach, CA 90266

Counsel for Respondent, Douglas Benton Prezzano, is to give notice and e-file proof of notice with the Court.

Sherri R. Carter, Executive Officer / Clerk of Court
 By: A. Cisneros
 Deputy Clerk

Dated: 09/9/2022

SUPERIOR COURT OF CALIFORNIA, COUNTY OF LOS ANGELES

Civil Division

Central District, Stanley Mosk Courthouse, Department 16

22STCP00246

**CREDIT SUISSE SECURITIES (USA) LLC vs DOUGLAS
BENTON PREZZANO, et al.**

September 9, 2022

3:32 PM

Judge: Honorable Lia Martin
Judicial Assistant: A. Cisneros
Courtroom Assistant: V. Ponce

CSR: None
ERM: None
Deputy Sheriff: None

APPEARANCES:

For Plaintiff(s): No Appearances

For Defendant(s): No Appearances

NATURE OF PROCEEDINGS: Court Order Re: JUDGMENT submitted 08-16-2022 @ 02:39 p.m.;

JUDGMENT submitted 08-16-2022 @ 02:39 p.m. is reviewed by the Court and approved by the Court as fully reflected in the JUDGMENT e-signed by the Court, and e-filed 09-02-2022. Entered in this date's L.A.S.C. Minute Order processed this date.

"The Petition by Douglas Benton Prezzano, Steven John Soja, Eric Bruce Miller, Stewart, Bosley III, Roger Wacker, Stefan F. Lendi, and Heinz Jaeggi ("Prezzano Parties") to Confirm Contractual Arbitration Award ("Petition to Confirm"), and the Petition by Credit Suisse Securities (USA) LLC ("Credit Suisse") to Vacate or, In the Alternative, Correct the Arbitration Award ("Petition to Vacate/Correct"), came on regularly for hearing on July 22, 2022, in Department 16 of the above-entitled court, the Honorable Lia Martin, Judge, presiding. Credit Suisse appeared by its attorneys, Ellis George Cipollone O'Brien Annaguey LLP. The Prezzano Parties appeared by their attorneys, Lax & Neville LLP and Rovens Lamb LLP. Having considered the pleadings and evidence presented by the parties, and arguments of counsel, the court GRANTED the Petition to Confirm and DENIED the Petition to Vacate/Correct. The Prezzano Parties are entitled to postjudgment interest on the amount due under the Award at the California statutory rate of ten percent (10%) per annum from December 24, 2021, the day following issuance of the Award, through and including July 22, 2022, the date of confirmation.

IT IS THEREFORE ORDERED, ADJUDGED, AND DECREED that the arbitration award dated December 23, 2021 in favor of the Prezzano Parties having been confirmed on July 22, 2022, judgment be entered in favor of the Prezzano Parties and against Credit Suisse, as follows:

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1. Douglas Benton Prezzano in the amount of \$1,754,562.46, and post-confirmation interest of \$480.70 accruing each day from July 23, 2022, until the Judgment is satisfied.
2. Stewart W. Bosley, III in the amount of \$1,679,388.88, and post-confirmation interest of \$460.11 accruing each day from July 23, 2022, until the Judgment is satisfied.
3. Heinz Jaeggi in the amount of \$49,434.63, and post-confirmation interest of \$13.54 accruing each day from July 23, 2022, until the Judgment is satisfied.
4. Stefan F. Lendi in the amount of \$50,430.17, and post-confirmation interest of \$13.82 accruing each day from July 23, 2022, until the Judgment is satisfied.
5. Eric Bruce Miller in the amount of \$2,176,575.23, and post-confirmation interest of \$596.32 accruing each day from July 23, 2022, until the Judgment is satisfied.
6. Steven John Soja in the amount of \$1,042,933.65, and post-confirmation interest of \$285.74 accruing each day from July 23, 2022, until the Judgment is satisfied.
7. Roger Wacker in the amount of \$2,031,800.99, and post-confirmation interest of \$556.66 accruing each day from July 23, 2022, until the Judgment is satisfied.
8. For attorneys' fees and costs pursuant to the Award in the combined amount of \$1,307,217.82, and post-confirmation interest of \$358.14 accruing each day from July 23, 2022, until the Judgment is satisfied.
9. The total amount of this Judgment shall be \$10,092,343.83, and post-confirmation interest of \$2,765.03 accruing each day from July 23, 2022, until the Judgment is satisfied.

As the prevailing parties, the Prezzano Parties shall recover their costs of suit in the amount to be determined pursuant to the Prezzano Parties filing a memorandum of costs.

The Prezzano Parties are to give notice of the entry of judgment."

Counsel for Respondent, Douglas Benton Prezzano, is to give notice and e-file proof of notice with the Court.

Certificate of Mailing is attached.

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